



#4
SZ
S2/01
Sector 4
Petition

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

TREVOR SMITH

Serial No. : 09/766,256 Date: April 30, 2001

Filed : January 18, 2001 Our File: BSE 301

Title : LIFE MANAGEMENT SKILLS ENHANCEMENT PROGRAM

Commissioner for Patents
BOX MISSING PARTS
Washington, D.C. 20231

RECEIVED

AUG 28 2001

OFFICE OF PETITIONS

Sir:

PETITION UNDER 37 C.F.R. 1.17(i)

Applicant received a Notice to File Missing Parts of Non-Provisional Application dated February 28, 2001 from the United States Patent and Trademark Office (USPTO). In that Notice, the USPTO stated that Figure 9 was omitted from the application. However, applicant deposited Figure 9 (sheet 11 of a total of 11 sheets of drawings) with the rest of the application as stated in the Certificate of Mailing on the transmittal of the application (copy enclosed). Therefore, applicant requests that Figure 9 (copy enclosed) be included in the application and applicant be granted the filing date of January 18, 2001.

////

////

////

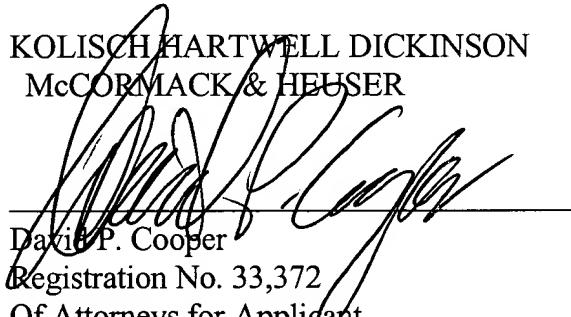
////

05/04/2001 SSESHE1 00000054 09766256
130.00 OP
01 FC:122
2001-05-04 Name/Alt Name:09766256
130.00 CR
2001-05-04 Name/Alt Name:
130.00 CR

Included with this Petition is a copy of the Notice to File Missing Parts of Non-Provisional Application dated February 28, 2001 and a check in the amount of \$130.00 to cover the required Petition fee. Applicant requests that the Petition fee be refunded to the Deposit Account No. 11-1540 if the USPTO determines that Figure 9 was indeed received. If there are any questions on the above, please inform the undersigned.

Respectfully submitted,

KOLISCH HARTWELL DICKINSON
McCORMACK & HEUSER



David P. Cooper
Registration No. 33,372
Of Attorneys for Applicant
520 S.W. Yamhill Street, Suite 200
Portland, Oregon 97204
Telephone: (503) 224-6655
Facsimile: (503) 295-6679

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope and addressed to: Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231 on April 30, 2001.

Mandi M. Leighty
Mandi M. Leighty

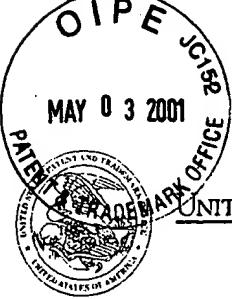
UNITED STATES PATENT & TRADEMARK OFFICE
Washington, D.C. 20231

REQUEST FOR PATENT FEE REFUND

1 Date of Request: <u>8/26/01</u>		2 Serial/Patent # <u>09/766,256</u>		
3 Please refund the following fee(s):		4 PAPER NUMBER	5 DATE FILED	6 AMOUNT
<input checked="" type="checkbox"/> Filing				\$
<input type="checkbox"/> Amendment				\$
<input type="checkbox"/> Extension of Time				\$
<input type="checkbox"/> Notice of Appeal/Appeal				\$
<input checked="" type="checkbox"/> Petition	4	5/3/01	\$ 130.00	
<input type="checkbox"/> Issue				\$
<input type="checkbox"/> Cert of Correction/Terminal Disc.				\$
<input type="checkbox"/> Maintenance				\$
<input type="checkbox"/> Assignment				\$
<input type="checkbox"/> Other				\$
		7 TOTAL AMOUNT OF REFUND	\$ 130.00	
		8 TO BE REFUNDED BY:		
10 REASON:		<input type="checkbox"/> Treasury Check <input checked="" type="checkbox"/> Overpayment <input type="checkbox"/> Duplicate Payment <input checked="" type="checkbox"/> No Fee Due (Explanation):		
		<input checked="" type="checkbox"/> Credit Deposit A/C #: 9 11 -- 1540		
<p>Office error in filing date issue. Paper was present on filing</p>				
11 REFUND REQUESTED BY:				
TYPED/PRINTED NAME: <u>E. Shirene Willis</u> TITLE: <u>Petitions Atty</u> SIGNATURE: <u>E. Shirene Willis</u> PHONE: <u>308-6712</u> OFFICE: <u>Office of Petitions</u>				
***** THIS SPACE RESERVED FOR FINANCE USE ONLY *****				
APPROVED: <u>Alicia Kelley</u> DATE: <u>9-12-01</u>				

Instructions for completion of this form appear on the back. After completion, attach white and yellow copies to the official file and mail or hand-carry to:

Office of Finance
Refund Branch
Crystal Park One, Room 802B

**COPY**

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/766,256	01/18/2001	Trevor Smith	BSE 301

CONFIRMATION NO. 8276

23581
KOLISCH HARTWELL DICKINSON MCCORMACK & H
EUSER
520 S.W. YAMHILL STREET
SUITE 200
PORTLAND, OR 97204

*DPO FWD
BSE 301*

FORMALITIES LETTER

OC00000005809294

Date Mailed: 02/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Deadline - August 28, 2001.**Filing Date Granted**MISSING parts + surcharge due - April 28, 2001.*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The following item(s) appear to have been omitted from the application:

- Figure(s) 9 described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the Patent and Trademark Office (PTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(i))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the PTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the PTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the



application as deposited in the PTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the PTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the PTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 1 - ATTORNEY/APPLICANT COPY



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/766,256	01/18/2001	Trevor Smith	BSE 301

23581
 KOLISCH HARTWELL DICKINSON MCCORMACK & H
 EUSER
 520 S.W. YAMHILL STREET
 SUITE 200
 PORTLAND, OR 97204

CONFIRMATION NO. 8276

FORMALITIES LETTER



OC000000005809294

Date Mailed: 02/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The following item(s) appear to have been omitted from the application:

- Figure(s) 9 described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the Patent and Trademark Office (PTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(i))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the PTO.

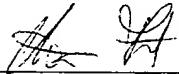
II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the PTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the

application as deposited in the PTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the PTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the PTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Dated January 18, 2001

Our Case Docket No.: BSE 301

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents,
Box PATENT APPLICATION
Washington, D.C. 20231

COPY

Sir:

Transmitted herewith for filing is the patent application of Inventor: Trevor Smith
For: LIFE MANAGEMENT SKILLS ENHANCEMENT PROGRAM

Enclosed are also:

11 sheets of drawings.

Claims as Filed Other Than
SMALL ENTITY

For	Number Filed	Number Extra	Rate	Basic Fee
Total Claims	28-20	8	\$ 9.00	= \$ 72.00
Independent Claims	6-3	3	\$40.00	= \$ 120.00
			Total Filing Fee-----	\$ 547.00

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 11-1540. A duplicate copy of this sheet is enclosed.
 A check in the amount of \$547.00 to cover the filing fee is enclosed.

Respectfully submitted,

KOLISCH, HARTWELL, DICKINSON,
McCORMACK & HEUSER

Owen W. Dukelow
Registration No. 41,002
Attorney for Applicant(s)
Customer No. 23581
520 S.W. Yamhill Street, Suite 200
Portland, Oregon 97204
Telephone: (503) 224-6655
Facsimile: (503) 295-6679

OWD:td
Enclosures

"Express Mail" Mailing Label No. EL731229910US
Date of Deposit - January 18, 2001

I hereby certify that the attached Patent Application of Trevor Smith, entitled LIFE MANAGEMENT SKILLS ENHANCEMENT PROGRAM accompanied by eleven sheets of drawings and check in the amount of \$547.00 is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box PATENT APPLICATION, Washington, D.C. 20231.

Tamara Daw

EL731229910US

BEST AVAILABLE COPY



Figure 9
Hooked On Life Program Strategy

<u>Mission</u>	<u>Program Goals</u>	<u>Program Strategies</u>
<p>To provide the architecture that builds the dreams of Australia's youth</p>	<p><i>To promote self directed discovery through:</i></p> <ul style="list-style-type: none"> • Establishing "participation" as the norm • Using the arts, music, language, movement & sport as the means of enlisting participation <p><i>To build career based skill sets through:</i></p> <ul style="list-style-type: none"> • The delivery of best practice school education • Assessing career options • Develop pathways to vocational training <p><i>Build complimentary links to the business community through:</i></p> <ul style="list-style-type: none"> • Access to the supply of goods, services and intellectual property • The realisation of commercially valid outcomes • Access to financial resources <p><i>To empower Australia's youth through:</i></p> <ul style="list-style-type: none"> • Building self esteem • Building self-confidence • Developing leadership 	<p>Product development</p> <p>Involving a Business Communications organisation</p> <p>Value added benefits</p> <p>Brand development</p> <p>Seeding funding via State Governments</p> <p>Business sponsorship</p> <p>Program positioning</p> <p>Market coverage</p> <p>Push promotions</p> <p>Full promotions – student community</p>

Hooked On Life Outcomes

